

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Complete if Known

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Use as many sheets as necessary)

Sheet 1 of 3

Application Number	10/527,568
Filing Date	September 26, 2003
First Names Inventor	Wei-Jun Peng, et al.
Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	62420A

## **U. S. PATENT DOCUMENTS**

Examiner Initials*	Patent No.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-3,527,809	09/08/1970	Pruett et al.	Claim 1; Column 3; Ligands in Tables
		US-4,148,830	04/10/1979	Pruett et al.	Claim 1; Table A, Column 7
		US-4,247,486	01/27/1981	Brewester et al.	Claim 1; Column 7, lines 28-35
		US-4,599,206	07/08/1986	Billig et al.	Column 3, lines 15-46; Column 4, lines 11-26; Columns 10-25; Claims 1-5
		US-4,748,261	05/31/1988	Billig et al.	From Column 5, line 37, to Column 62; Claims
		US-4,755,625	07/05/1988	Maerkl et al.	Abstract; Column 1, lines 45-52; Claims 1 and 8
		US-5,874,641	02/23/1999	Burke et al.	Columns 2, lines 10-43; Columns 3-14; Claims
		US-5,929,289	07/27/1999	Abatjoglou et al.	From Column 3, line 46, to Column 18; Claims 1 and 2
		US-6,570,033	05/27/2003	Röttger et al.	Abstract; From Column 2, line 21 to Column 11, line 55; Examples; Claims
		US-6,156,936	12/05/2000	Drent et al.	Column 2, lines 8-38; Examples; Claims
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

Examiner  
SignatureDate  
Considered

8/18/07

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing and submitting the completed application form to the USPTC. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Complete if Known

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 3

Application Number	10/527,568
Filing Date	September 26, 2003
First Names Inventor	Wei-Jun Peng, et al.
Art Unit	Not Assigned 1621
Examiner Name	Not Assigned NAZARIO-SONZALEZ
Attorney Docket Number	62420A

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. 1	Document Number Country Code <sup>3</sup> - Number-Kind Code <sup>5</sup> (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†6
		DE 19602301 A1	7/25/1996	Sato, et al.	(English Equiv. US 5,663,403 at Columns 2 to 26 and Examples)	<input checked="" type="checkbox"/>
		EP-A1-213639 A2	3/11/1987	Billig, et al.	Pages 10 to 57, Structures in Examples and Claims	<input type="checkbox"/>
		EP-A1-353770 A2	2/07/1990	Abatjogiu, et al.	Pages 3 to 26, Structures in Examples and Claims	<input type="checkbox"/>
		EP-A1-569328 A1	11/10/1993	Pastor, et al.	Abstract, Pages 3, 4; Structures in Examples and Claims	<input type="checkbox"/>
		EP-A1-1008581 A1	6/14/2000	Takai, et al.	Pages 7 and 10-30; Structures in Examples and Claims	<input type="checkbox"/>
		EP-A1-1099677 A1	5/16/2001	Bomer, et al.	(English Equiv. US 2003/0144559, from Page 2 [0017] through Page 6)	<input checked="" type="checkbox"/>
		EP-A1-1099678 A1	5/16/2001	Bomer, et al.	(English Equiv. US 6,403,837 at Abstract; Columns 5-18; Claims)	<input checked="" type="checkbox"/>
		EP-A1-1201675 A1	5/02/2002	Röttger, et al.	(English Equiv. US 2002/0111487 at Abstract; From page 1 [0011] to page 6 [0040]; structures in Examples and Claims)	<input checked="" type="checkbox"/>
		JP 9-87292	3/31/1997	Mitsubishi Chem Corp.	(English abstract provided)	<input type="checkbox"/>
		WO 1995/14659 A1	6/01/1995	Tam, et al.	Pages 3-10; Structures in Examples, and Claims	<input type="checkbox"/>
		WO 2000/09467 A1	2/24/2000	Zehner, et al.	(English Equiv. US 6,642,420 at Claim 1)	<input checked="" type="checkbox"/>
		WO 2001/58589 A1	8/16/2001	Ahlers, et al.	(English Equiv. US 2003/0055253 from Page 2 [0015] to Page 8 [0094])	<input checked="" type="checkbox"/>
		WO 2001/85739 A1	11/15/2001	Ahlers, et al.	Abstract; Pages 16-17, 19-22, 33-34; Claim 7	<input type="checkbox"/>
		WO 2002/00670 A1	1/03/2002	Röttger, et al.	(English Equiv. US 2003/0195368 from Page 1 [0010] to Page 5 [0043]; Examples and Claims)	<input checked="" type="checkbox"/>
		WO 2003/016320 A1	2/27/2003	Schmutzler, et al.	(English abstract provided)	<input type="checkbox"/>
		WO 2003/078444 A2	9/25/2003	Peng, et al.	Pages 4 to 22; Claims	<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner Signature

*Refugio Nazario Gonzalez*

Date Considered

8/18/07

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>Form Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

Under the Paperwork Reduction Act of 1995, persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1/3 of 3

~~MAY 19 2005~~

**Complete if Known**

Application Number	10/527,568
Filing Date	September 26, 2003
First Names Inventor	Wei-Jun Peng, et al.
Art Unit	Not Assigned 1021
Examiner Name	Not Assigned NAYAR, IO-GONZALEZ
Attorney Docket Number	62420A

## NON PATENT LITERATURE DOCUMENTS

[illegible]

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (option). <sup>2</sup>Applicant is to place a check mark here in English language Translation is attached.

This collection of information is required 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.**

***If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-788-9199) and select option 2***

*Arpino Nazario Gonzalez*

8/18/07